



3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Examiner: Unknown

Filing Date: September 7, 2001

Group Art Unit: 3731

For: MINIMALLY INVASIVE MEDICAL DEPLOYMENT AND RETRIEVAL SYSTEM

Docket No.: 19369/110/101

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 27th day of June, 2002

By Melissa A. Abeldgaard
Melissa A. Abeldgaard

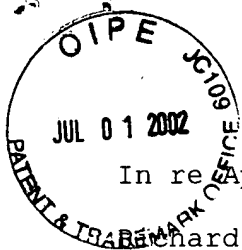
RECEIVED
JUL - 3 2002
U.S. MAIL ROOM

We are transmitting herewith the attached:

- ☐ A check in the amount of \$ _____ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established.
- ☒ Other: Supplemental Information Disclosure Statement, Form PTO-1449, and Copies of References Cited.
- ☒ Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Lawrence M. Nawrocki
Lawrence M. Nawrocki
Reg. No. 29,333

NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street N.E.
Minneapolis, Minnesota 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6152
7-11-02

In re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Examiner: Unknown

Filed : September 7, 2001

Group Art Unit: 3731

For : MINIMALLY INVASIVE MEDICAL DEPLOYMENT AND RETRIEVAL SYSTEM

Docket No.: 19369/110/101

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on this 27th day of June, 2002

By: Melissa A. Abelsgaard
Melissa A. Abelsgaard

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

RICHARD S. KUSLEIKA ET AL.

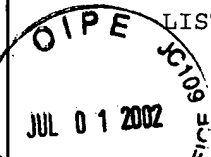
By his attorney,

Dated:

June 27, 2002

Lawrence M. Nawrocki
Lawrence M. Nawrocki, Reg. No. 29,333
NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street Northeast
Minneapolis, MN 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239

RECEIVED
JUL - 6 2002
100 MAIL ROOM

FORM PTO-1449	Atty. Docket No.: 19369/110/101	Serial No.: 09/936,248
 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Richard S. Kusleika et al.	
	Filing Date	Group Art:
	September 7, 2001	3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,814,064	09/29/98	Daniel et al.	606	200	03/06/97
AB	5,827,324	10/27/98	Cassell et al.	606	200	03/06/97
AC	6,001,118	12/14/99	Daniel et al.	606	200	10/03/97
AD	6,171,327	01/09/01	Daniel et al.	606	200	02/24/99
AE	6,245,089	06/12/01	Daniel et al.	606	200	09/30/99
AF						
AG						

U.S. PATENT APPLICATION PUBLICATIONS

	Document No.	Date	Name	Class	Sub Class
AH	2001/0012951 A1	08/09/01	Mark C. Bates et al.	606	200
AI					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AJ	WO 01/12082 A1	02/22/01	PCT	A61B	17/22	X
AK	WO 01/45592 A1	06/28/01	PCT	A61F	2/01	X
AL	WO 02/11627 A2	02/14/02	PCT	A61B	17/22	X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	
AN	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 9; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.